

Anti-Money Laundering Policy

Easi-Portfolio does not tolerate money laundering and actively supports the fight against it. We follow the guidelines set by the UK's Joint Money Laundering Steering Group. The UK is a full member of the Financial Action Task Force (FATF), the intergovernmental body whose purpose is to combat money laundering and terrorist financing.

Easi-Portfolio has policies in place to deter money laundering. These policies include:

- Ensuring clients have valid proof of identification
- Maintaining records of identification information
- Determining that clients are not known or suspected terrorists by checking their names against lists of known or suspected terrorists
- Informing clients that the information they provide may be used to verify their identity
- Closely monitoring clients' money transactions
- Not accepting cash, money orders, third-party transactions, exchange house transfers, or Western Union transfers.

What is Money Laundering?

Money laundering is the process of moving funds from an illegal or criminal activity through the financial system in a way that makes the funds appear to come from legitimate sources.

It typically follows three stages:

1. Placement: Cash or cash equivalents are placed into the financial system.
2. Layering: The money is transferred or moved to other accounts (e.g., futures accounts) through a series of financial transactions designed to obscure its origin. This can involve executing trades with little or no financial risk or transferring account balances to other accounts.
3. Integration: The funds are re-introduced into the economy so that they appear to have come from legitimate sources (e.g., closing a futures account and transferring the funds to a bank account).

Trading accounts are one vehicle that can be used to launder illicit funds or hide the true owner of the funds. A trading account can be used to execute financial transactions that help obscure the origins of the funds.

As a preventative measure, Easi-Portfolio directs all withdrawal funds back to their original source.

International Anti-Money Laundering regulations require financial services institutions to be aware of potential money laundering abuses that could occur in a customer account and to implement a compliance program to deter, detect, and report suspicious activity.

These guidelines have been implemented to protect both Easi-Portfolio and its clients. For questions or comments regarding these guidelines, please contact us at support@easi-portfolio.

Identification

The first safeguard against money laundering is a sophisticated Know-Your-Client (KYC) verification process. To ensure compliance with standard AML regulations, we require you to submit the following documentation:

- **Proof of Identification:** A clear copy of the front and back of your government-issued photo ID, such as a valid passport, driver's license, or national ID card.
- **Proof of Residence:** An official document issued within the last 3 months that clearly states your name and address as registered with Easi-Portfolio. This can be a utility bill (e.g., water, electricity, or landline) or a bank statement. Please ensure your copy includes:
 - Your full legal name
 - Your full residential address
 - The date of issue (within the last 3 months)
 - The name of the issuing authority with an official logo or stamp
- **Copy of the front and back of your credit card:** To ensure your privacy and security, only the last 4 digits of your credit card number need to be visible. You can also cover the last 3 digits on the back of your card (the CVV code).

Please note that regulatory requirements may differ in certain countries, and we may need to request further documentation from you.

Monitoring

We do not accept third-party payments. All deposits must be made in your own name and must match the KYC documents you have submitted. Due to AML regulatory policies, all withdrawn funds must be returned to the exact source from which they were received. This means that funds originally deposited via bank transfer will be returned to the same account when a withdrawal request is made. Similarly, if you deposited via credit card, your funds will be returned to the same credit card when a withdrawal request is made.

Under no circumstances do we accept cash deposits or disburse cash when withdrawal requests are made.

Reporting

AML regulations require us to monitor and track suspicious transactions and report such activities to the relevant law enforcement agencies. We also reserve the right to deny a transfer at any stage if we believe it is connected in any manner to criminal activity or money laundering. The law prohibits us from

informing customers about reports submitted to law enforcement agencies concerning suspicious activity.



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